



# En Route Merging and Spacing Preparation

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*Current draft 1.7*

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# Objective

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- **Develop a concept of operations that utilizes advanced surveillance information and enhanced speed control to increase efficiency and capacity of merging and spacing**
  - **Facilitate Continuous Descent Arrivals (CDA)**
  - **An initial implementation of this concept of operations shall be implementable over the next few years (2010)**
  - **Concept shall also be consistent with operational evolution over longer term (2014 – 2018)**
  - **Per request of FAA Surveillance and Broadcast Services office**



# Development Plan

Phase	Summary Description	Performers
1	<i>AOC establishes spacing (ABESS)</i>	<i>Single Airline</i>
2	<i>AOC performs ABESS and then transitions flights into FDMS</i>	<i>Single Airline</i>
3	AOC performs ABESS and FDMS for multiple merge streams and then transitions flights to FDMS	Single Airline
4	ATC implements a master schedule: En Route Merging and Spacing Preparation ( <b>EMSP</b> ) and then transitions flights to FDMS (optional) <ul style="list-style-type: none"><li>• Step I –Single Merge Stream</li><li>• Step II – Multiple Merge Streams</li><li>• Step III – Automated Resolutions</li></ul>	Multiple Airlines & ATC
5	ATC implements a collaborative master schedule with multiple airlines and then transitions flights to FDMS (optional)	Multiple Airlines & ATC
6	Operations are expanded to all major airports and implemented in NAS	Multiple Airlines & ATC

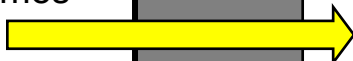
Current  
demonstrations  
and testing



Focus of  
this briefing

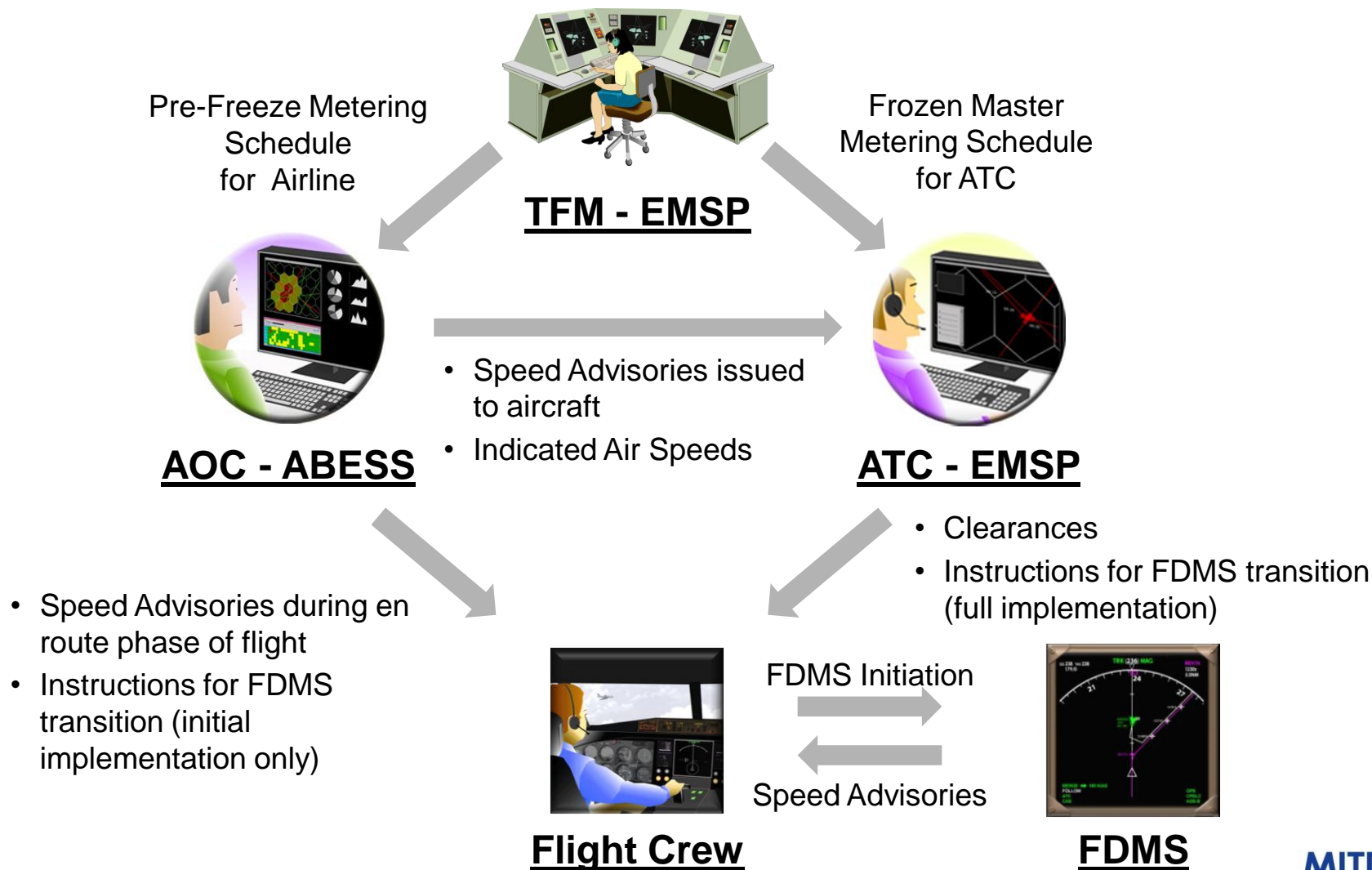


EMSP becomes  
fully  
operational



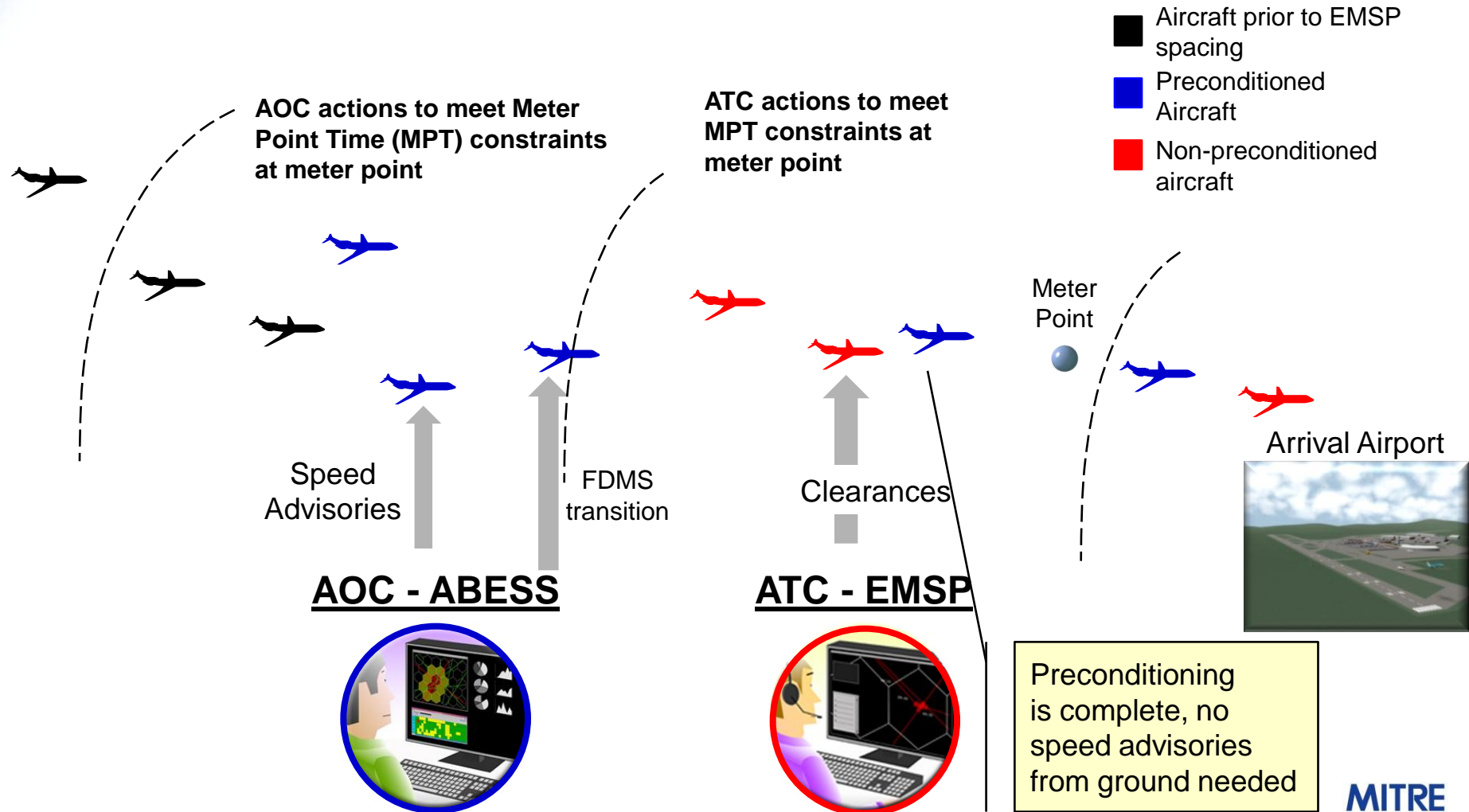


# Overview of EMSP





# Overview of EMSP (concluded)





# Reasons for AOC involvement

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- **Early speed advisories should remove requirement of later path-lengthening**
  - Expected reduction in fuel burn
  - Expected reduction in controller workload for merge
- **Increase TMA effectiveness by improving flow fluidity**
  - Flights are preconditioned, requiring less severe adjustments by ATC
- **Utilize readily available “indicated airspeed” information via ACARS for speed advisories**
- **Facilitate early ADS-B benefits without intended changes in TMA and controller responsibility**
- **Could be conducted in single-airline environment / low traffic environment without need for TMA**
  - Facilitate CDA conduct that frequently requires different spacing prior to initiation than “conventional” approaches

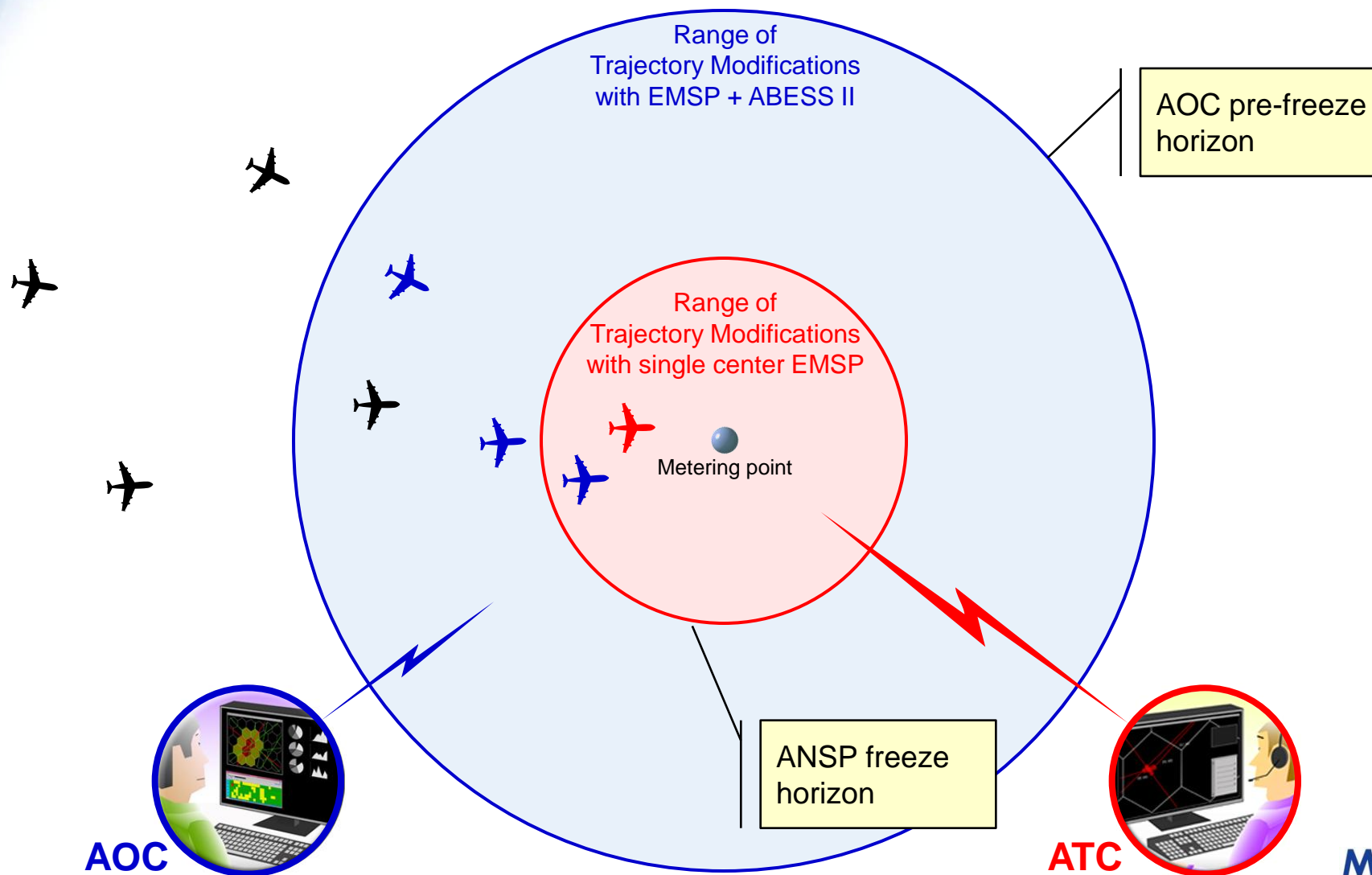


# EMSP Steps

	Step I –Single Merge Stream	Step II –Multiple Merge Streams	Step III – Automated Resolutions
<b>TFM</b>	<b>Develops metering schedule for traffic in single Center</b>	<i>Develops metering schedule for traffic in <b>multiple Centers</b></i>	
<b>ATC</b>	<b>Controller defines and communicates maneuvers to meet metering schedule (shorter lead-times)</b>		<i>Automation generates resolutions and transitions flights into FDMS</i>
<b>AOC</b>	<b>Defines and uplinks speed advisories to meet metering schedule for their own fleet (longer lead-times) and transitions flights to FDMS</b>		<i>May still uplink advisories in single airline environments</i>
<b>Flight Deck</b>	<b>Follows spacing guidance and transition to FDMS as needed</b>	<i>Advanced FDMS capability for multiple merge streams</i>	
<b>TMA</b>	<b>En Route Departure Capability</b>	<i>Adjacent Center Metering</i>	<i>Additional requirements</i>
<b>Est. Time</b>	<b>2010</b>	<i>2014</i>	<i>2015 - 2018</i>



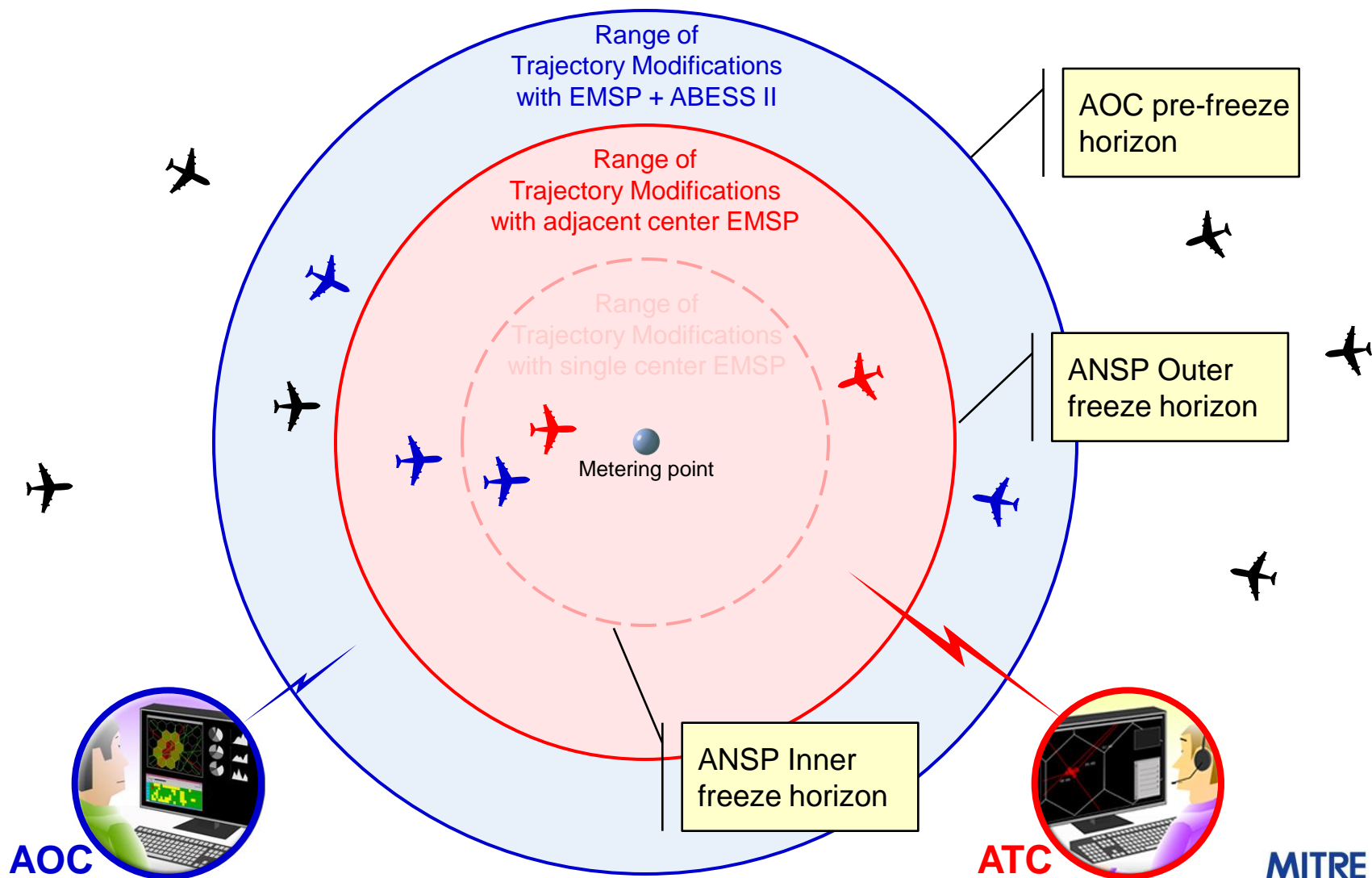
# Range of Control Actions – Step I





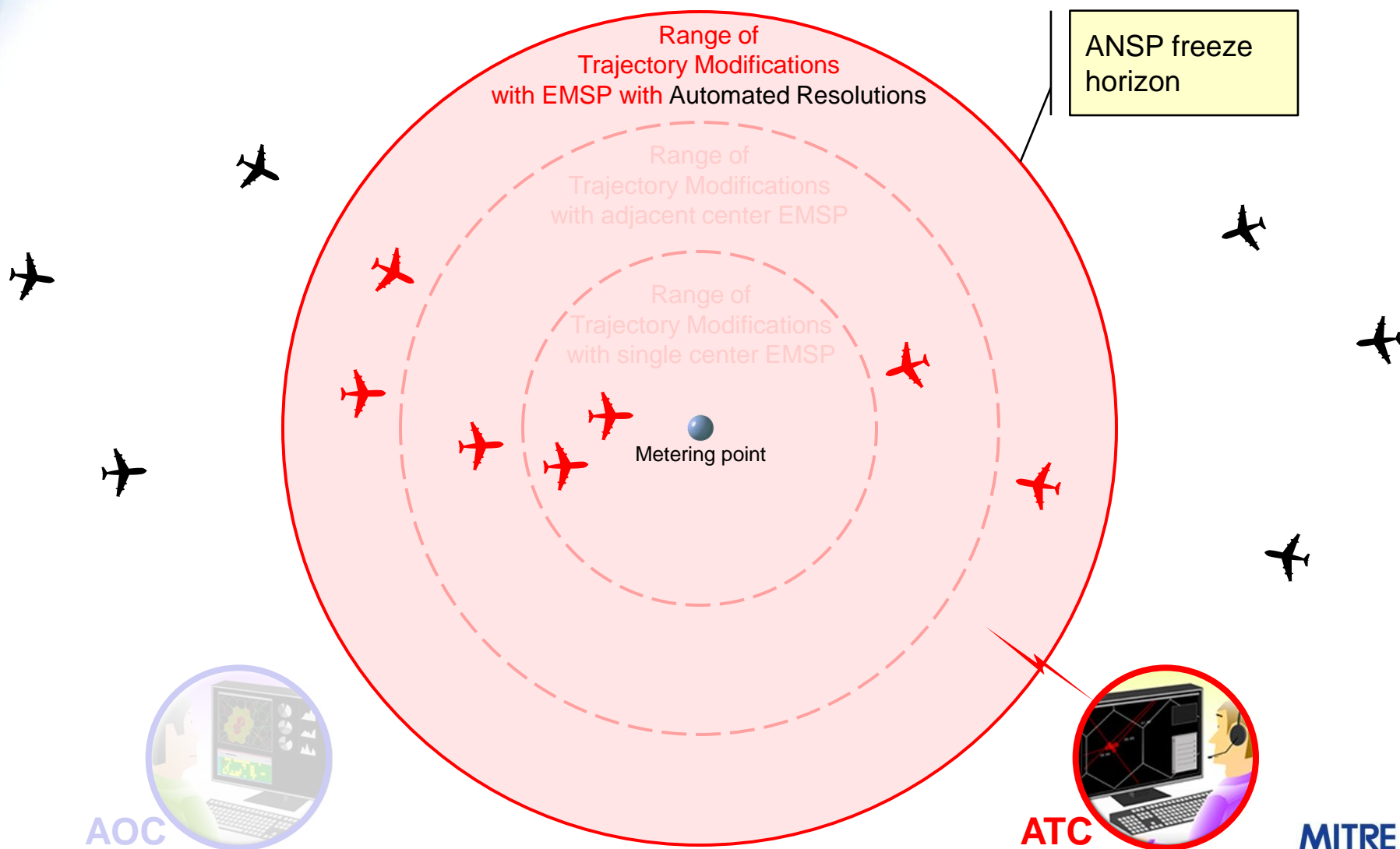


# Range of Control Actions – Step II





# Range of Control Actions – Step III





# ANSP Metering Capability

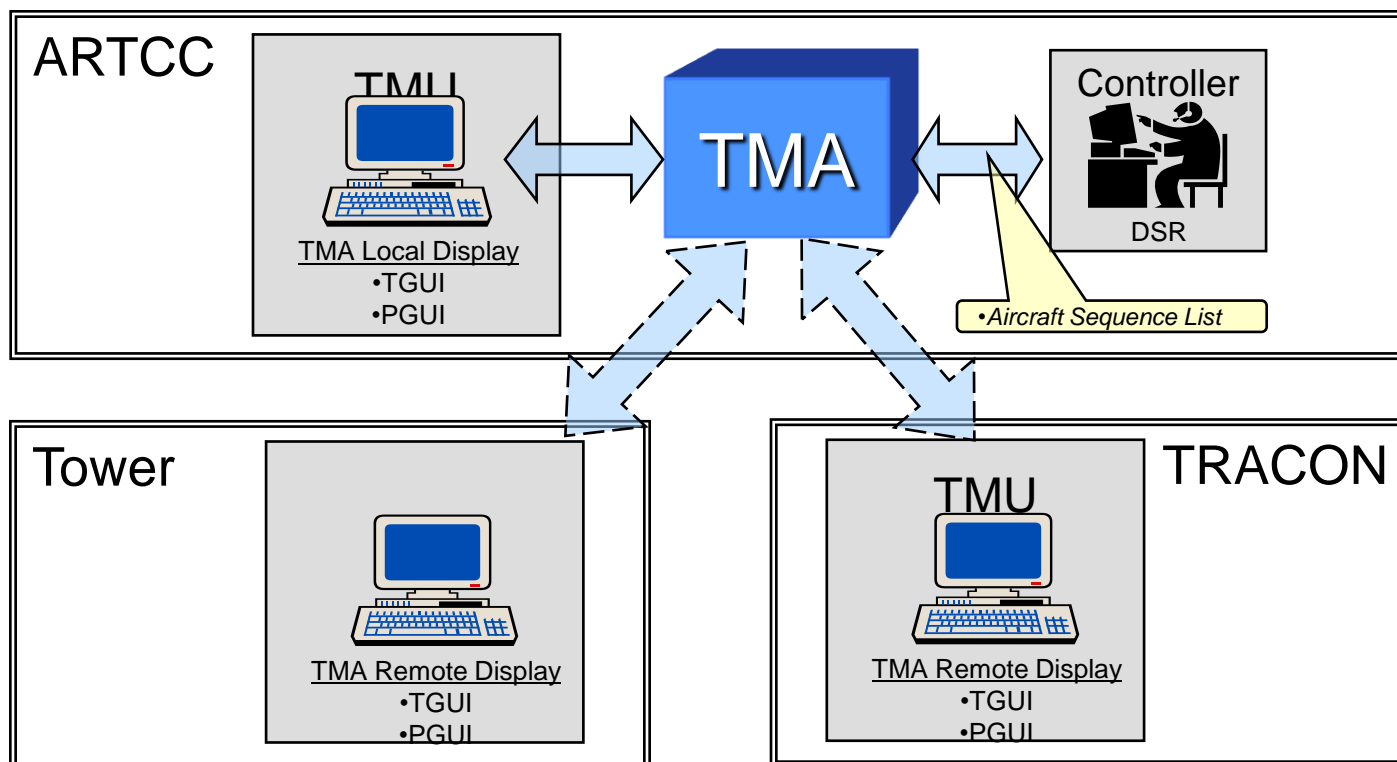
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- **The EMSP concept requires a time-based metering capability**
  - **ANSP makes pre-freeze metering times available to the AOCs who may calculate speed advisories to prepare spacing at meter point**
  - **Long distance trajectory predictions**
- **Traffic Management Advisor (TMA) is tool of choice**
  - **Implemented at all ANSP facilities**
  - **Currently provides functionality similar to EMSP**
- **For later EMSP steps, modifications will be required**



# TMA Overview

- TMA provides a common situational view among ANSP organizations: ARTCC, TRACON, and Tower



Source: Traffic Management Advisory: FAA (2007)



# TMA - Time-Based Metering

- Enables controllers to apply metering times to manage the flow of aircraft through congested areas
- Controllers maneuver aircraft to meet TMA-assigned Scheduled Times of Arrival using the time info displayed in the Meter List (shown in green in the middle of the scope).

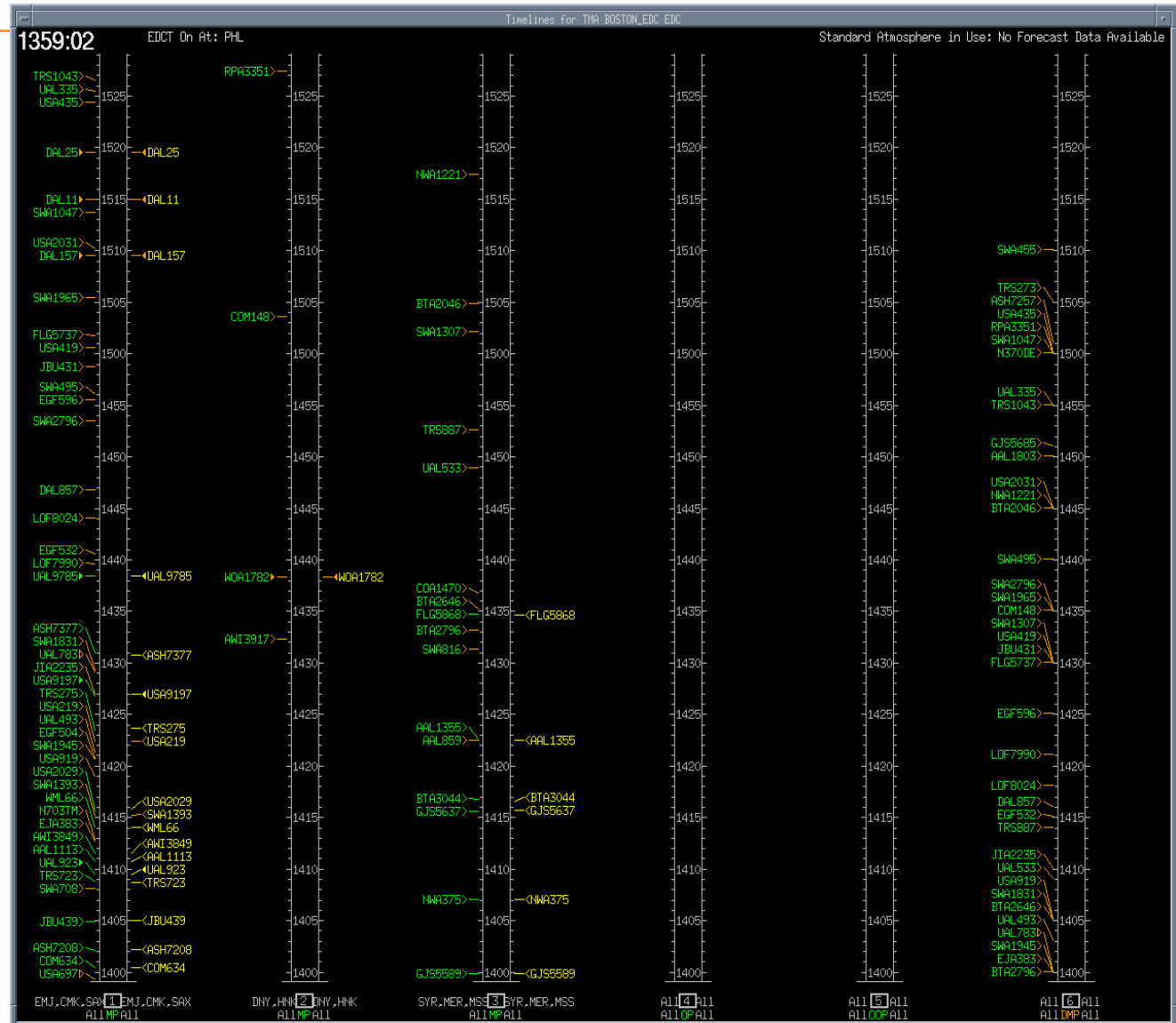


Source: Traffic Management Advisory: FAA (2007)



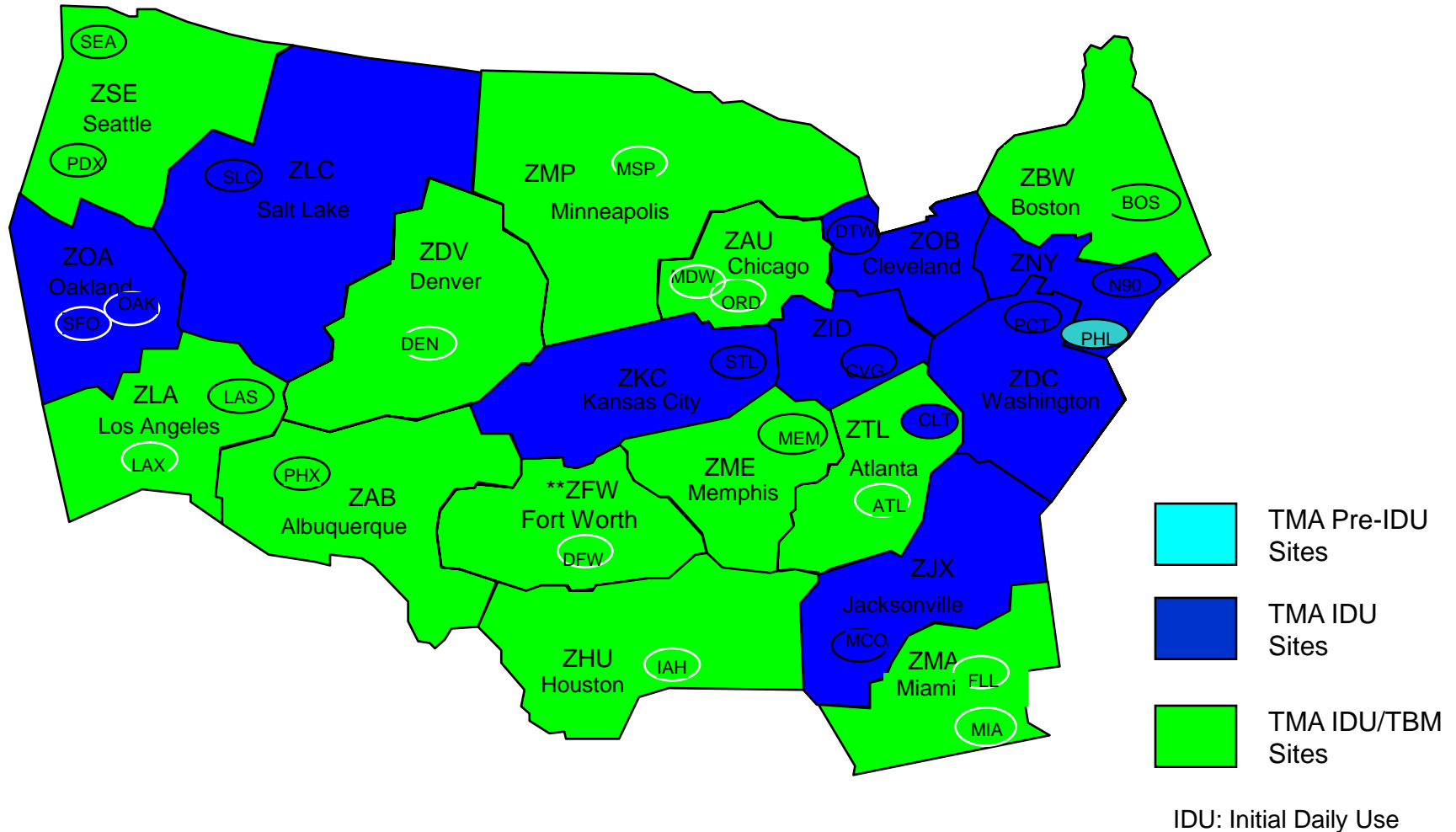
# TMA Timeline

- TMA depicts suggested schedules on a timeline display which can show Estimated Time of Departure and Scheduled Time of Departure. It also displays Estimated Time of Arrival and Scheduled Time of Arrival to assigned Meter Points and associated arcs.





# TMA Deployment Status (as of 2007)



Source: Traffic Management Advisory: FAA (2007)



# Main Research Issues

No	Description	Approach to resolve
1	EMSP pre-freeze horizons for the AOC significantly extend current TMA freeze-horizons. Is this extension feasible, what are the drawbacks?	Analysis, literature review
2	What are ATC information requirements about AOC speed advisories?	Operational experts, HITL* simulation
3	What are the benefits of early AOC speed advisories (e.g., reduced controller workload, customer flight benefits)?	Benefits analysis
4	What are ATC display requirements for EMSP Steps I, II, and III?	Design
5	Establish feasibility and develop algorithm for multi merge stream FDMS	Design, simulation

\* Human-in-the-Loop





# Main Implementation Issues

No	Description	Approach to resolve
1	Determine feasibility of bi-directional data-feed between TMA and AOC.	FAA / CSC TMA development groups
2	Considerations and strategies for merging ABESS traffic with other traffic.	Operational experts, HITL simulation
3	At what field-site should EMSP Step I test be conducted?	Operational experts, FAA / CSC TMA development groups
4	What are the adaptation requirements for TMA ?	FAA / CSC TMA development groups



# EMSP Phase 4

## Concept Development Plan

- Meetings
  - EMSP focus group
  - FAA SBS
- Draft concept
  - Focus group review
  - Peer/management review
  - Draft available to FAA SBS for review
- Revised concept
  - Focus group review
  - Peer/management review
  - Coordination draft delivered

Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep
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				△	△	△					
								△		△	▲



# Next Meeting Schedule

## Proposed

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- **November 18 - 19: Visit of ATL TMA**
- **December 16 – EMSP focus group meeting**
- **January 21 – EMSP – FAA SBS meeting**



## Next Steps

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- **Goal: Field-demonstration of EMSP Step I in FY 2010**
  - Likely candidate: ZKC-center
- **Mature concept of operations document**
- **Develop collection of research issues**
- **Develop methodology to address research issues (e.g. human-in-the-loop and fast-time simulations)**
- **Work with ANSP facilities to implement required ground-infrastructure**



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**EMSP Step I**

# **SAMPLE SCENARIO**



# Operating Environment Assumptions

	EMSP Step I (2010)	EMSP Step II (2014)	EMSP Step III (2015-2018)
Airspace	Single stream in en route and terminal airspace for arrival flows (e.g. SDF?)	Multiple streams in en route and terminal airspace for arrival flows	
Comm- unications	AOC-to-pilot – Aircraft Communications Addressing and Reporting System (ACARS) and voice		
	Controller-to-pilot – voice	Controller/pilot – air-ground data communications and voice	
	AOC-to-ATC – voice (or fax/electronic mail)	AOC-to-ATC – ground-ground data communications and voice	
Navigation	Some aircraft Area Navigation (RNAV) capable		Most aircraft RNAV capable <ul style="list-style-type: none"><li>• Required at or above FL 180</li><li>• Required for OEP airport arrivals/departures</li></ul>
	Few aircraft Required Navigation Performance (RNP) capable		Some aircraft RNP capable <ul style="list-style-type: none"><li>• RNP-2 required at and above FL 290</li></ul>
Surveillance	Automatic Dependent Surveillance-Broadcast (ADS-B) required for FDMS operations		



## EMSP Step I

### Pre-departure



Pre-freeze  
horizon

Freeze  
horizon

Metering Point

CDA conduct

Terminal

Flight Deck

AOC

TFM

En Route ATC

Terminal ATC

File and update  
flight plan

Flight plan

Flight plan

Pushback

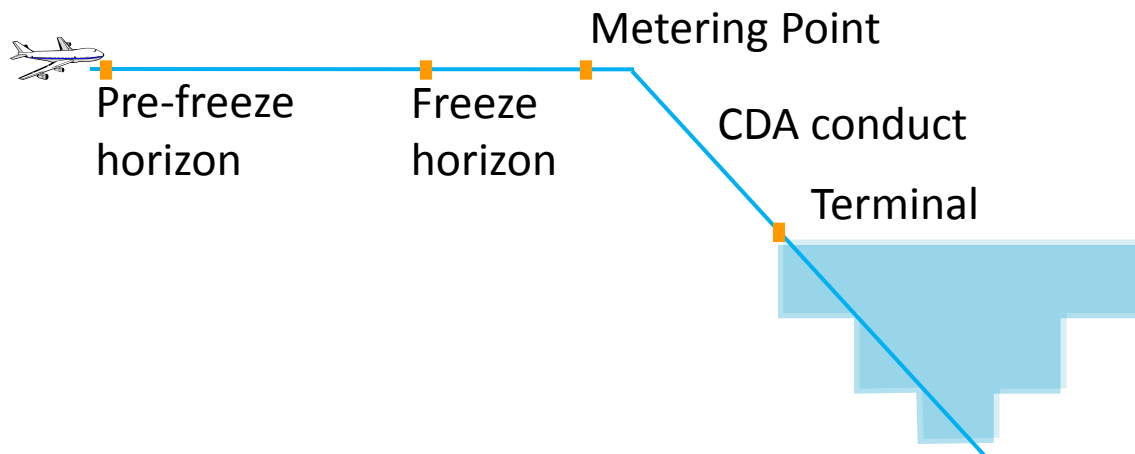
Request for pushback

Departure clearance

Issue departure  
clearance

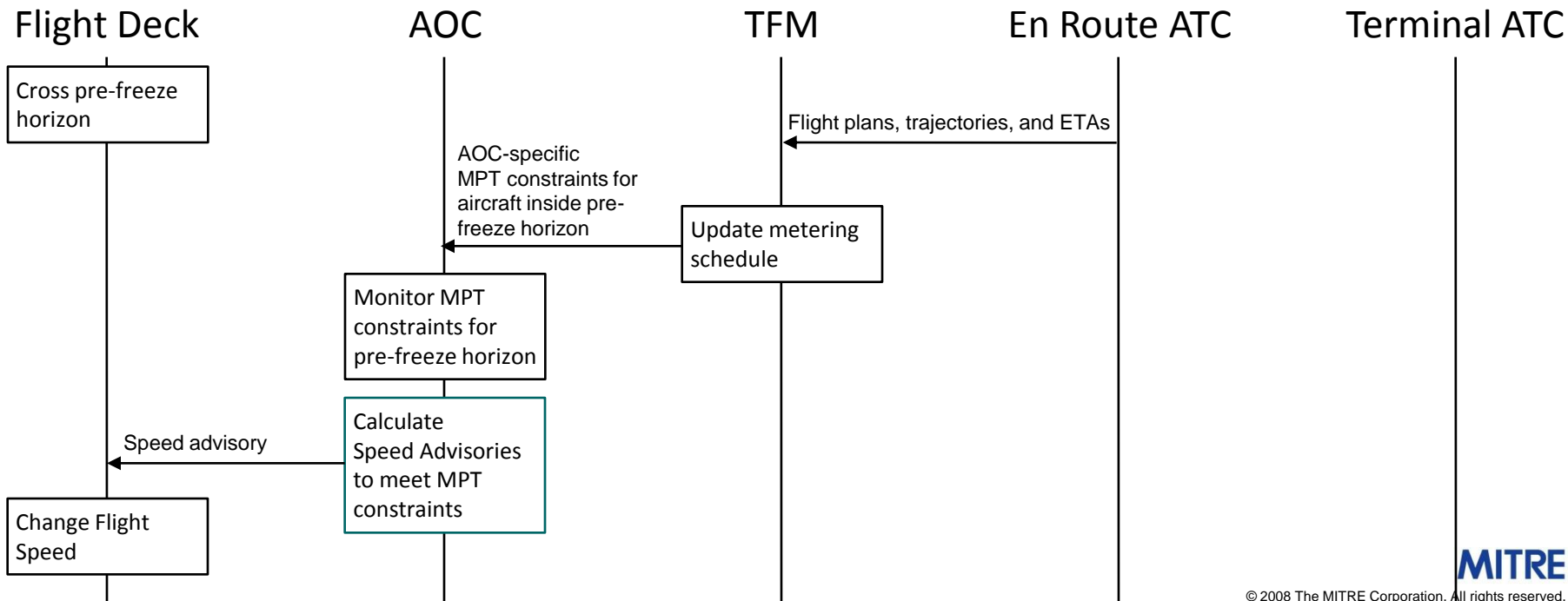
Load departure  
clearance into  
FMS if available

Depart

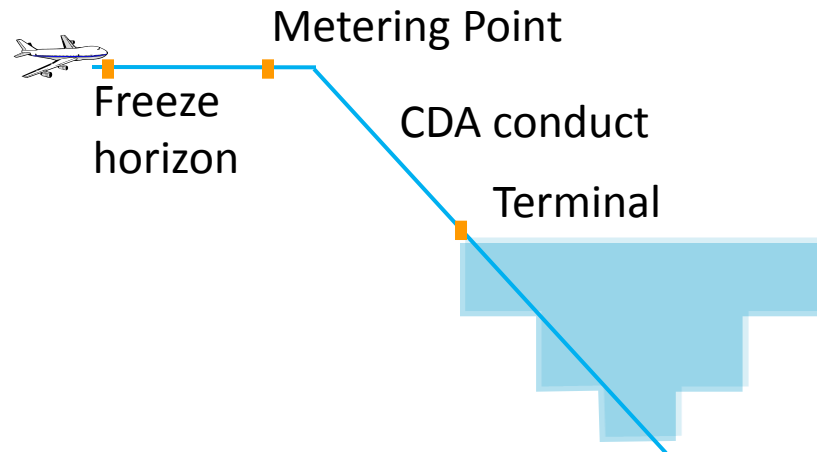


# EMSP Step I

## En Route – Pre-Freeze

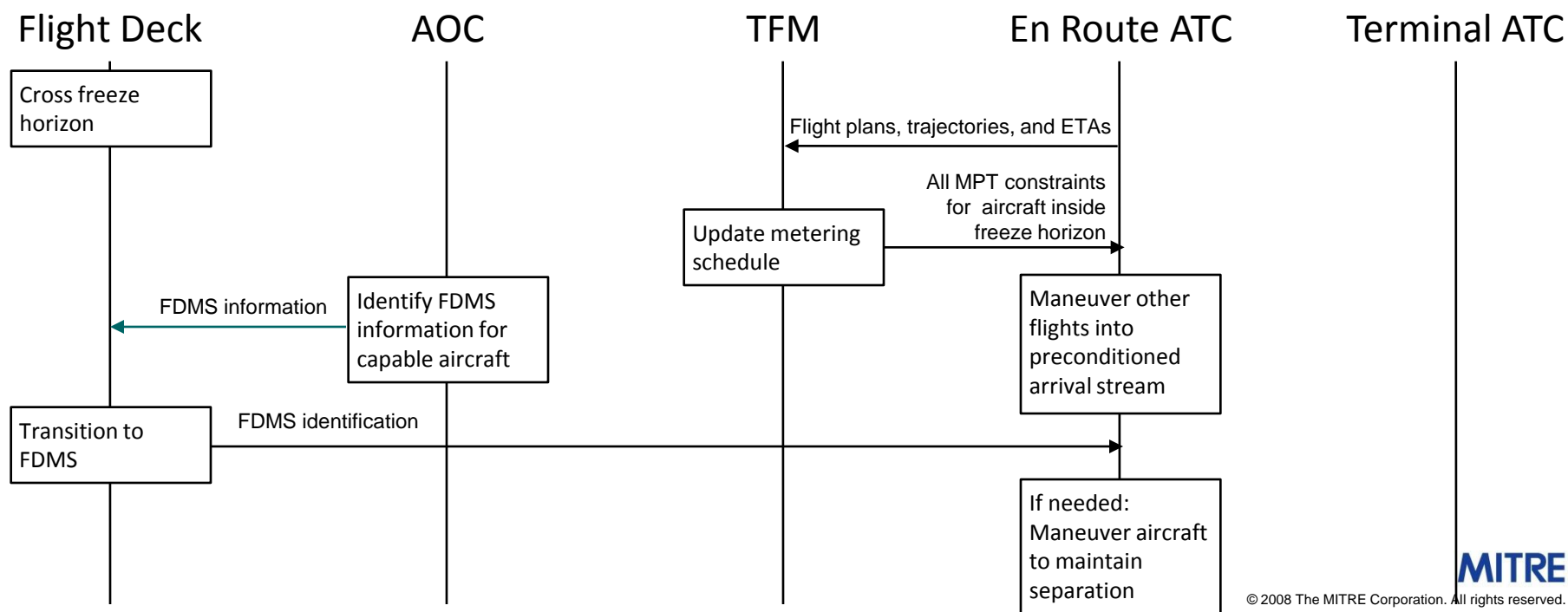






## EMSP Step I

### En Route – Freeze



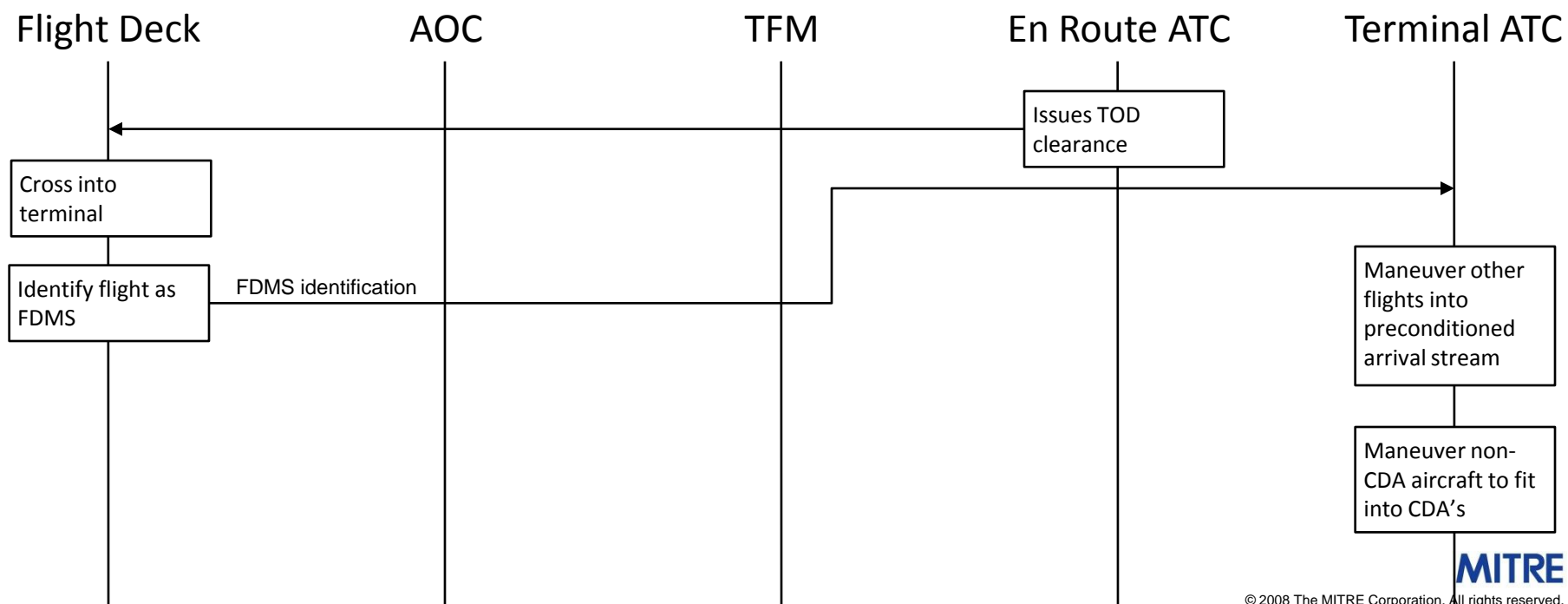


CDA conduct

Terminal

## EMSP Step I

### Descent



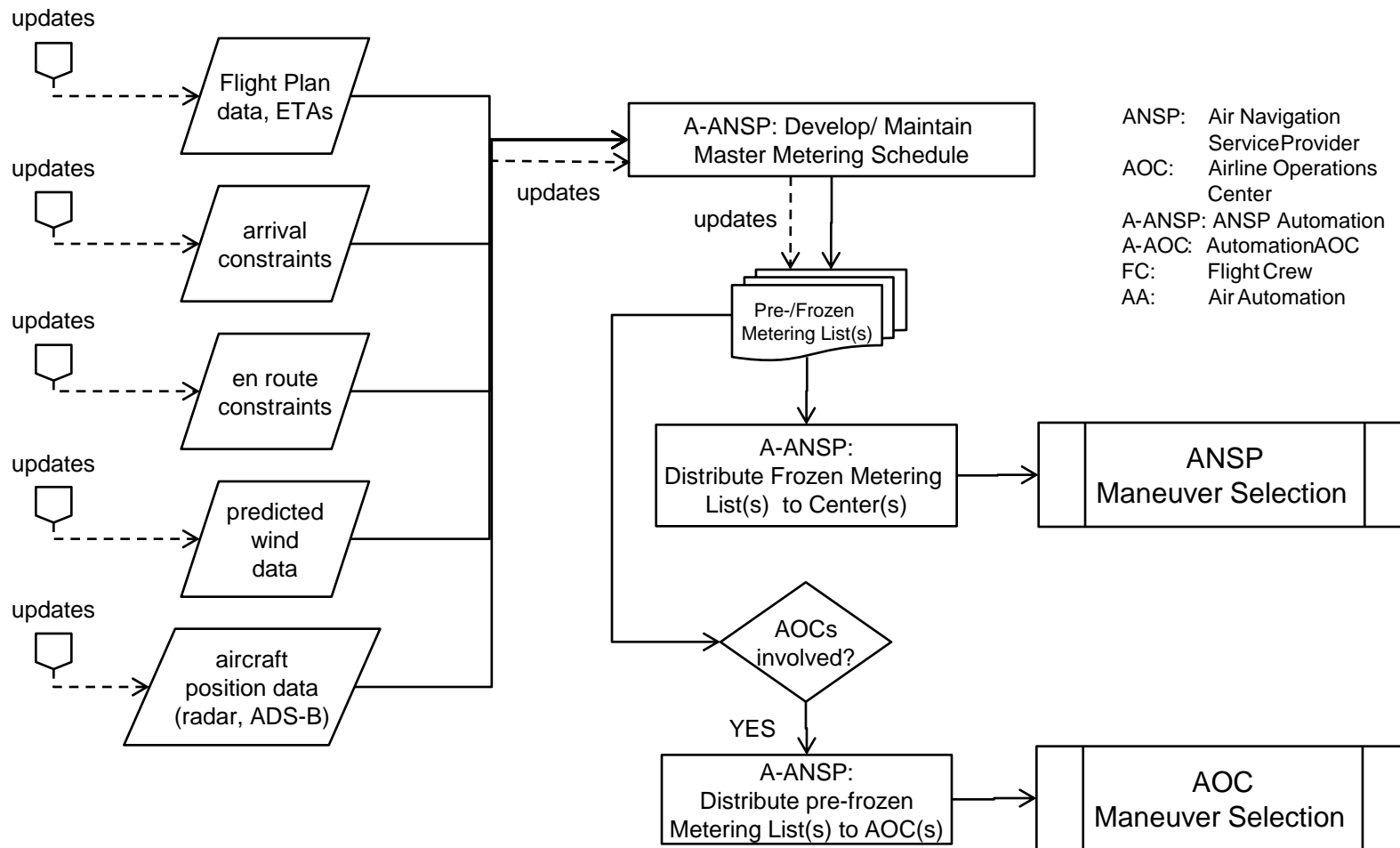


**EMSP**

# **FLOW CHARTS**

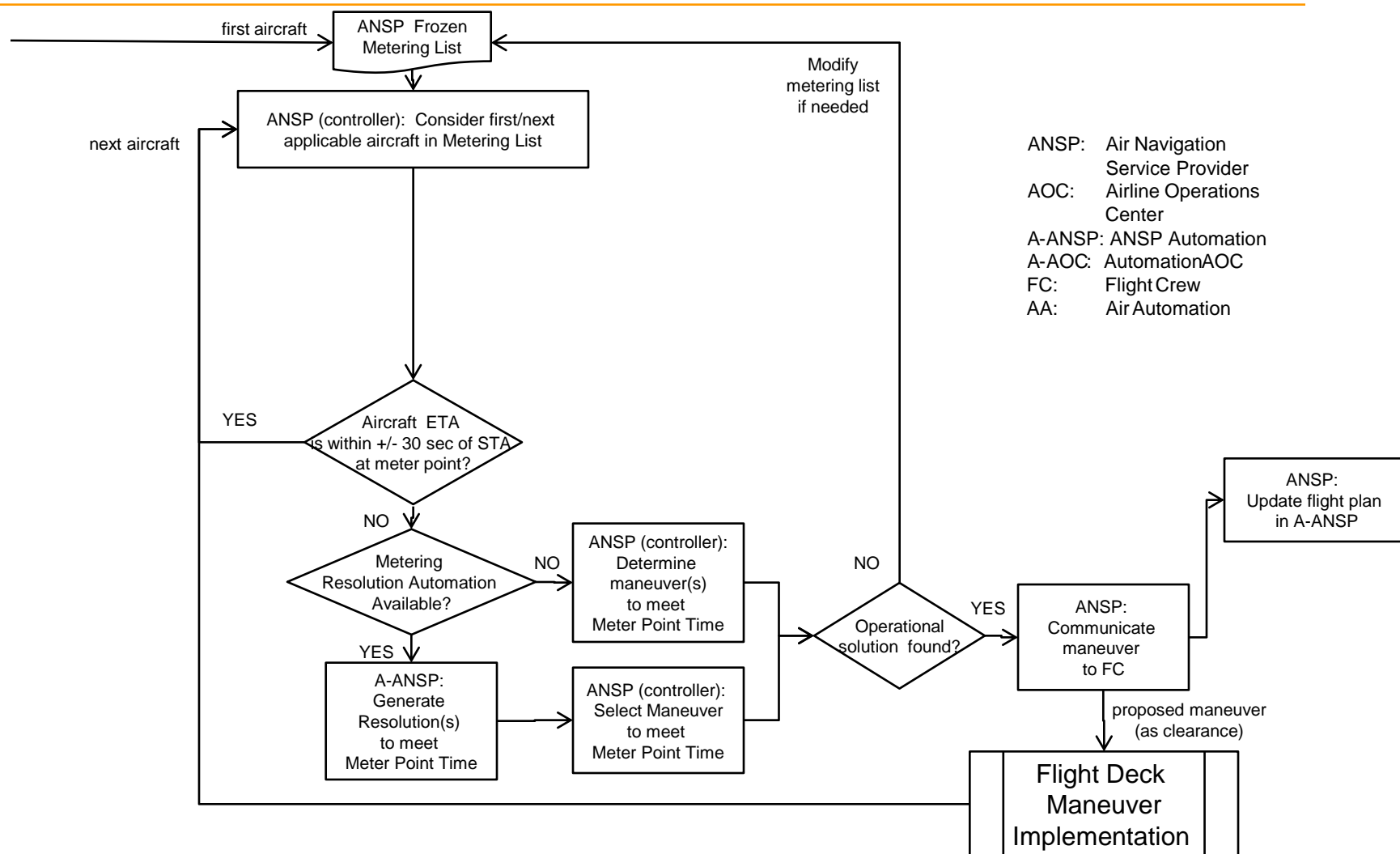


# Create and Distribute Metering Schedule



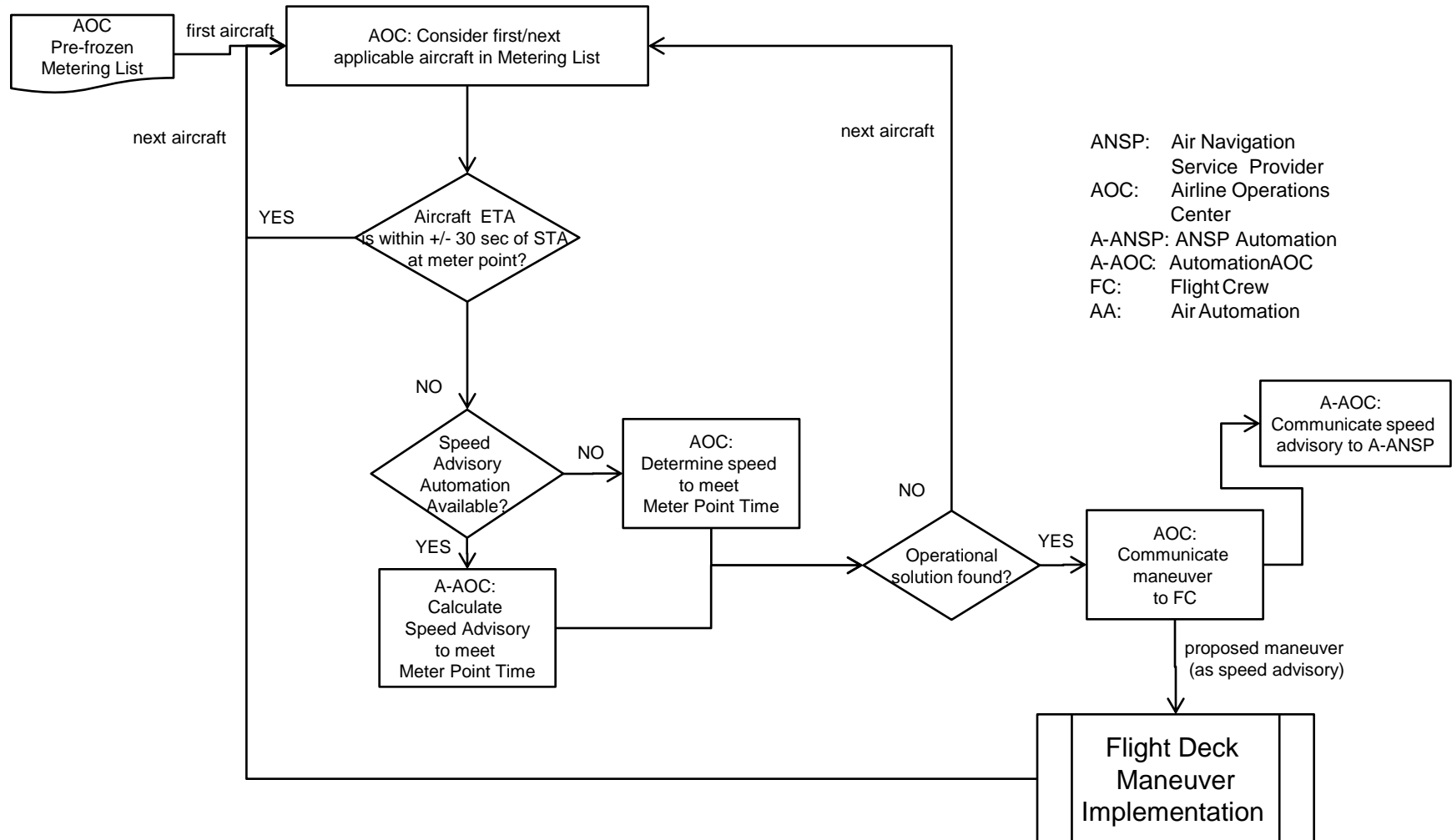


# ANSP Maneuver Selection



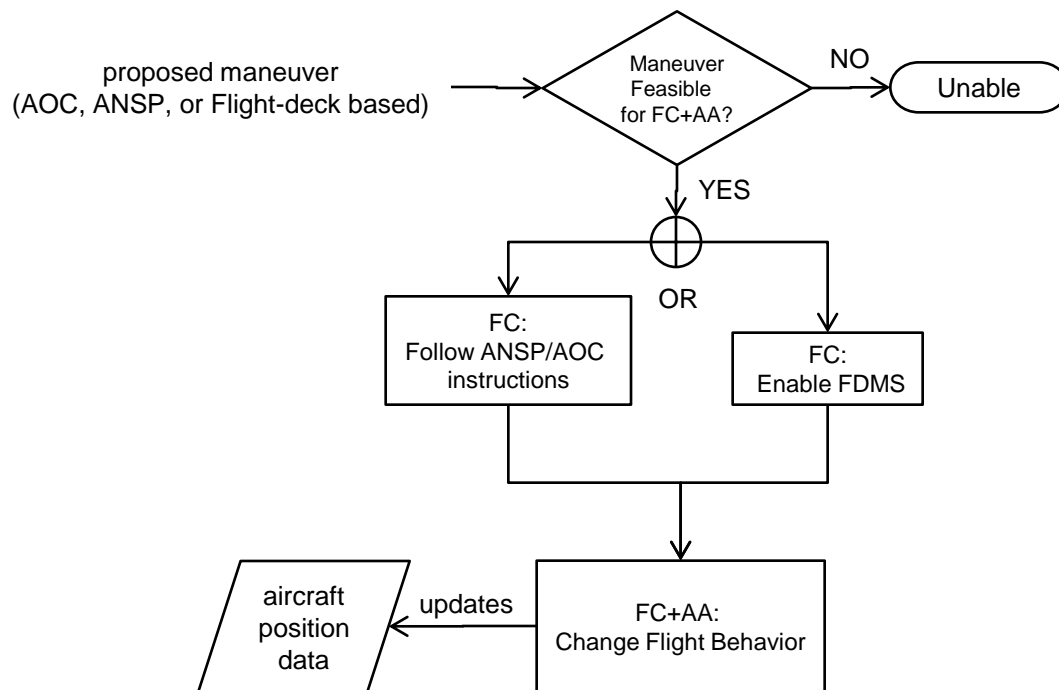


# AOC Maneuver Selection





# Flight Deck Maneuver Implementation



ANSP: Air Navigation  
Service Provider  
AOC: Airline Operations  
Center  
A-ANSP: ANSP Automation  
A-AOC: Automation AOC  
FC: Flight Crew  
AA: Air Automation